

Home Noninvasive Ventilation in COPD



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Evidence is increasing that long-term noninvasive ventilation (LTNIV) can improve outcomes in individuals with severe, hypercapnic COPD. Although the evidence remains unclear in some aspects, LTNIV seems to be able to improve patient-related and physiologic outcomes like dyspnea, FEV₁ and partial pressure of carbon dioxide (Pco₂) and also to reduce rehospitalizations and mortality. Efficacy generally is associated with reduction in Pco₂. To achieve this, an adequate interface (mask) is essential, as are appropriate ventilation settings that target the specific respiratory physiologic features of COPD. This will ensure comfort, synchrony, and adherence that will result in physiologic improvements. This article briefly reviews the newest evidence and current guidelines on LTNIV in severe COPD. It describes an actual patient who benefitted from the therapy. Finally, it provides strategies for initiating and optimizing this LTNIV in COPD, discussing high-pressure noninvasive ventilation, optimization of triggering, and control of inspiratory time. As demand increases, clinicians will need to be familiar with this therapy to reap its benefits, because inadequately adjusted LTNIV will not be tolerated or effective.

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COPD is a major cause of morbidity and the third-leading cause of death worldwide.¹ With progressive disease, chronic hypercapnic respiratory failure may occur, which is associated with a 1-year mortality of 17% to 30%.²⁻⁵ Hospitalization resulting from hypercapnic exacerbation is associated with 11% in-hospital mortality and 49% 2-year mortality.⁶

The benefits of noninvasive ventilation (NIV) in acute exacerbations are well established.⁷⁻¹⁰ For chronic use in the home setting, older systematic reviews found no evidence of benefit, but did identify higher

baseline CO₂, higher pressures, and longer daily NIV use as predictors of greater CO₂ reduction.¹¹ Recent randomized controlled trials have changed the landscape in the field. However, practice had been variable, from higher proportions of patients with COPD in ventilation programs in European countries¹²⁻¹⁴ to few across Canadian provinces¹⁵ and generally few chronically hypercapnic patients with COPD receiving home NIV in the United States.¹⁶ Hence, experience with long-term NIV (LTNIV) is highly heterogeneous among pulmonologists. Varying ventilation targets,¹⁷ rapid technological advances with

ABBREVIATIONS: ATS = American Thoracic Society; BUR = backup rate; EPAP = expiratory positive airway pressure; IPAP = inspiratory positive airway pressure; LTNIV = long-term noninvasive ventilation; LTOT = long-term oxygen therapy; NIV = noninvasive ventilation; Pco₂ = partial pressure of carbon dioxide; Pvco₂ = partial pressure of carbon dioxide in venous blood; SpO₂ = hemoglobin oxygen saturation by pulse oximetry; Ti = inspiratory time

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different ventilation modes, diverse settings and nomenclature among manufacturers, and the multitude of parameters on NIV devices resulting in wide range of possible prescriptions all make NIV a complex therapy that may be challenging to implement. The purpose of this review is to summarize the current data and guidelines on LTNIV in COPD and to provide a practical approach to its initiation.

Evidence for LTNIV in COPD

Randomized controlled trials of NIV in COPD published before 2010 largely failed to demonstrate benefits for physiologic, functional, or patient-reported outcomes or reduction of hospitalizations or survival.^{11,18} One exception was an Australian trial comparing LTNIV with long-term oxygen therapy (LTOT) or LTOT alone, which found improvement in mortality with LTNIV after a mean follow-up of 2.21 years.⁴ However, worsening of certain quality-of-life parameters was observed, which hampered widespread support for LTNIV in COPD.¹⁹

In parallel, work carried out in Europe generated increasing evidence that LTNIV could result in improved outcomes if settings were adjusted targeting obstructive COPD physiologic features and with specific CO₂ reduction goals, termed *high-intensity ventilation*.²⁰⁻²² Although the exact definition of high-intensity NIV remains somewhat contentious, it is primarily the high pressure, rather than a high backup rate, that is the most relevant parameter.^{23,24} Using this type of strategy, Kohnlein et al⁵ demonstrated markedly improved survival with LTNIV in stable hypercapnic patients with COPD, with improved quality of life. The mean inspiratory positive airway pressure (IPAP) and expiratory positive airway pressure (EPAP) were 21.6 and 4.8 cm H₂O, respectively, with backup rate (BUR) of 16.1/min. This trial included participants with PaCO₂ of \geq 52 mm Hg (mean, 58 mm Hg) and showed reduction, but not normalization, of daytime PaCO₂ and improvement in oxygen saturation and FEV₁. Murphy et al²⁵ studied patients who remained hypercapnic (mean Pco₂, 59 mm Hg) 2 to 4 weeks after hospitalization for exacerbation requiring acute NIV and who met criteria for LTOT. Using mean IPAP and EPAP of 24 and 4 cm H₂O, respectively, they demonstrated delayed time to readmission, reduced readmission rate, and improved quality of life. No improvement in mortality was found, but many control patients eventually crossed over to active treatment, and few deaths occurred. Conversely, another trial also

including hypercapnic participants (mean Pco₂, approximately 59 mm Hg) after exacerbation did not demonstrate benefit of NIV on readmissions or death²⁶ using mean IPAP and EPAP of 19 and 4 cm H₂O, respectively. It has been suggested that this resulted from inclusion of participants randomized as early as 48 h after discontinuation of acute NIV who may not have been chronically hypercapnic, because reduction of Pco₂ in the control group mirrored that in the NIV group in the first 3 months. Variable results across modern trials highlight that LTNIV benefits very specific patient populations with COPD; the trials with positive results define the indications and target populations.

Real-world data, notwithstanding potential biases, have helped us to understand the impact of LTNIV in North America. Retrospective single-center studies demonstrated good adherence,²⁷ improved event-free survival with LTNIV after discharge,²⁸ and marked reduction in rehospitalizations with a multimodal intervention including LTNIV.²⁹ Using Medicare data, it was demonstrated that in patients with COPD and chronic respiratory failure, LTNIV was associated with reduced all-cause mortality, hospitalizations, and ED visits,^{30,31} with benefit on mortality and reduction in Medicare expenditures restricted to those with hypercapnia.¹⁶

Clinical Practice Guidelines

The European Respiratory Society,³² American Thoracic Society (ATS),³³ and the Canadian Thoracic Society³⁴ recently published guidelines that agree that a role exists for LTNIV in chronic stable hypercapnic COPD, but recommendations are weak, with very low- to moderate-certainty evidence. LTNIV is suggested after acute hypercapnic respiratory failure requiring acute NIV, provided that the patient remains hypercapnic after resolution of the acute episode. The ATS and Canadian Thoracic Society recommend re-evaluation after 2 to 4 weeks. All three guidelines specify that NIV should aim to normalize or at least significantly reduce hypercapnia. The European Respiratory Society and Canadian Thoracic Society found no evidence to support autoadjusting modes preferentially and recommend fixed pressure modes. The ATS suggests evaluating patients for OSA at a minimum with a questionnaire (very low-certainty evidence). Many unanswered questions remain regarding implementation of LTNIV in COPD, including the need to identify better the patients most likely to benefit, to develop strategies to initiate and optimize LTNIV, and to clarify

management of hypercapnic patients with COPD and obesity, especially given the high obesity rates in North America, including in patients with COPD.²⁷

Case Study

A 57-year-old man was referred for optimization of home NIV in December 2021. He had previously smoked (35 pack-years) and had quit 1 year prior, and had a BMI of 25 kg/m², FEV₁ of 0.6 L (19% predicted), and was receiving optimal bronchodilator therapy and LTOT. He had undergone multiple hospitalizations for hypercapnic respiratory failure over the 2 years prior, with maximal venous partial pressure of carbon dioxide in venous blood (PvCO₂) of 136 mm Hg and requiring NIV acutely several times and intubation once. His arterial blood gas 3 years prior showed pH 7.4 and PaCO₂ 59 mm Hg.

This patient likely would have benefitted from earlier implementation of home NIV, which may have prevented rehospitalizations and intubation. He had been started on nocturnal NIV at the referring center using an oronasal mask, in spontaneous-timed mode as per parameters used during his last admission: IPAP, 16 cm H₂O; EPAP, 10 cm H₂O; BUR, 12/min; rise time, 300 ms; inspiratory time (Ti) minimum, 0.8 s; Ti maximum, 1.6 s; high trigger sensitivity; and medium cycling sensitivity. These parameters are not optimal because of low IPAP and low driving pressure ($\Delta = \text{IPAP} - \text{EPAP}$). It is unclear why the EPAP was relatively high, but this was comfortable for the patient. The rise time should be faster, Ti maximum should be lower, and cycling sensitivity should be high for earlier cycling from IPAP to EPAP (clarified herein).

Despite symptomatic improvement, he was rehospitalized briefly with PvCO₂ of 102 mm Hg. On discharge, parameters were modified remotely by his home care respiratory therapist to IPAP of 19 cm H₂O, with fastest rise time. The patient reported good subjective sleep quality with NIV. A few days later, a routine follow-up PvCO₂ measurement obtained at home was 119 mm Hg.

The driving pressure remained low in the context of COPD. Note that PvCO₂, while convenient, is not recommended because it is not a reliable estimate of PaCO₂. IPAP was increased gradually remotely to 25 cm H₂O, with Ti maximum of 1.3 s. About 9 months after starting NIV, an overnight oximetry on NIV and oxygen at 3 L/min showed mean hemoglobin oxygen saturation by pulse oximetry (SpO₂) of 95% and SpO₂

of < 90% during 0.8% of the night. The daytime transcutaneous CO₂ measurement obtained in the outpatient clinic was 58 mm Hg. Supplemental oxygen at night was reduced. Excessive oxygen supplementation may result in CO₂ retention. Oxygen should be titrated to maintain SpO₂ not higher than 92% at rest.

EPAP was decreased to 8 cm H₂O and Ti range to 0.8 to 1.1 s, but an attempt at increasing IPAP was not tolerated. Overnight transcutaneous CO₂ showed a mean of 54 mm Hg, fluctuating depending on leak (incompletely controlled). Because of increasing daytime NIV use, mouthpiece ventilation was added to help with dyspnea during daily activities. It allowed the patient to perform some work in his garage, for which he was grateful. About 24 months after starting NIV, he remained at home with no further hospitalizations, despite exacerbations requiring antibiotic and corticosteroid treatment. Despite imperfect CO₂ control, the patient was stabilized and rehospitalizations to date have been averted.

Approach to Home NIV in COPD

Initiation Setting

No optimal initiation location has been agreed on. The ATS suggests against titrating NIV in the sleep laboratory because of concerns regarding cost, delay, safety of achieving rapid normocapnia over a single night, and proficiency of personnel in such titrations.³³ European studies used initiation in hospital, often in specialized ventilation units, over days, with progressive acclimatization and optimization.^{5,17,25} This is not possible currently in most North American centers. However, parameters used and tolerated during an acute exacerbation can be a useful starting point. Titration performed while admitted for an acute exacerbation is practical, but chronic hypercapnia should be confirmed before starting LTNIV, and parameters should be reassessed based on ventilation goals.

Home initiation has been studied as an alternative to in-hospital initiation.³⁵ The protocol required multiple home visits and daily calls from a specialized nurse and remote monitoring of ventilator and overnight transcutaneous CO₂ data, and lasted a median of 7 days. Technical difficulties affected transcutaneous CO₂ measurements in a significant proportion of patients. This protocol was shown to be noninferior to hospital initiation, cheaper, and safe (patients with unstable cardiac comorbidities or heart failure were excluded). This highly resource-intensive protocol is promising, but likely would require further optimization and adaptation to local realities.

In our experience, NIV initiation often takes place in the outpatient setting, using a combination of resources, ideally specialized respiratory therapists, available in clinic and at home. Clinic visits may include discussions regarding NIV potential benefits and challenges with shared decision-making regarding initiation, training on equipment, and interface selection. A daytime NIV trial may be performed, starting at low pressures and increasing pressures as tolerated during quiet wakefulness. Alternatively, initiation may be performed directly at home using trained home care or medical equipment providers.

Close follow-up in the initial period after starting NIV is important. Trained staff (ideally a respiratory therapist) should follow up within days to provide support and optimize interface and ventilation comfort. This could be done remotely, including for parameter adjustments (for non-life support devices),³⁵ provided that adequate connectivity is available. New mask trials, if needed, should be carried out in person. The extent to which this is performed by home care or equipment companies vs clinic staff may vary based on local resources. Physician follow-up should occur within weeks to assess adherence, comfort, and partial pressure of carbon dioxide (P_{CO₂}) (arterial, capillary, or transcutaneous CO₂) to inform further parameter adjustments. We suggest targeting reduction of daytime P_{CO₂} by ≥ 20%, or to < 48 mm Hg if possible.⁵ Adjustments then can be completed targeting nocturnal gas exchange²⁵ based on overnight transcutaneous CO₂ or oximetry, remembering that the latter will not be reliable regarding hypoventilation in patients receiving LTOT. With this type of outpatient protocol, reaching optimal parameters may take longer than with hospital-based initiation, but an outpatient protocol may constitute a lesser burden on patients and health care resources.

Mask Choice

The choice of mask (Table 1) is crucial for initiation and adaptation to LTNIV and is a key issue for most individuals who use NIV and caregivers.³⁶ Oronasal interfaces seem to be used most frequently,³⁶ possibly because of oronasal mask use during hospitalizations. Additionally, patients with more extreme dyspnea may be unable to breathe exclusively through the nose, precluding use of a nasal interface. However, nasal masks still should be considered because they cover a smaller facial area, and hence are less prone to leaks and might be easier to apply. A chin strap can help counter mouth leak³⁷ for patients able to use one. Nasal masks

TABLE 1] Principles of Mask Selection and Application

Always confirm mask fit with NIV on
Lift the mask to inflate the air cushion, before tightening the headgears
Use the minimum tension required on the headgear
Always try the mask in the position it will be used
Verify that the patient can apply the mask correctly and without excessive effort
Clean the mask daily to remove the film left by skin sebum

NIV = noninvasive ventilation.

also have less potential for exacerbating upper airway obstruction,^{38,39} but this has not been studied in the context of NIV.

To improve adherence, the chosen mask must be comfortable and easy to handle. Patients applying their mask independently must be able to do it easily and quickly if dyspneic. If experiencing difficulty lifting the arms up to the head, strategies should be developed to limit required movement during mask application. A well-fitting mask can determine adherence by minimizing uncomfortable pressure points and preventing leaks, which can be bothersome, can cause asynchrony, can reduce effectiveness of ventilation, and can introduce errors into reported ventilation.

NIV Parameters

Pressures: Achieving significant P_{CO₂} reduction is the main goal of NIV, along with resting of the diaphragm and accessory muscles. Using bilevel NIV, this typically requires high driving pressures (IPAP, > 18 cm H₂O) to overcome high airways resistance that also is present in inspiration in severe COPD. This results in larger tidal volumes, allowing adequate alveolar ventilation in the context of increased dead space and lower respiratory rates to help reduce hyperinflation.²² In an NIV treatment-naive patient, IPAP can be started at 12 cm H₂O and increased empirically to the maximum tolerated, aiming for a normalizing P_{CO₂}.²² Pressures of up to 42 cm H₂O have been reported,⁴⁰ although values of > 30 cm H₂O are unusual. Although IPAP may need to be increased gradually to allow patient adaptation, it has been shown that adherence is better with higher compared with lower pressures, in addition to improved P_{CO₂}, dyspnea, and FEV₁.²¹

Volume-assured pressure support methods (average volume-assured pressure support or intelligent volume-assured pressure support), with or without auto-EPAP,

can be a good option for autotitration or for long-term use. However, mask leaks must be controlled for device algorithms to function properly. They seem to be equivalent with respect to efficacy to fixed pressure support modes,^{41,42} although some evidence suggests that auto-EPAP can be superior regarding Pco_2 control and symptoms.^{43,44} A starting target tidal volume of 6 to 8 mL/kg of ideal body weight can be used, with subsequent adjustment to optimize Pco_2 and comfort. The set minimal IPAP should be sufficiently high to ensure that the target volume is reached through support provided primarily by the device, rather than a patient-generated effort.

The EPAP traditionally has been set at low values in patients with COPD without obesity. A level of 3 to 5 cm H_2O should be used to start (devices limit minimum EPAP to 3-4 cm H_2O to prevent rebreathing in a single-limb passive circuit). However, higher EPAP may be needed to correct upper airway obstruction⁴⁵ and can be titrated during polysomnography or using an auto-EPAP mode. EPAP also can help match intrinsic positive end-expiratory pressure, reducing triggering effort and asynchrony.⁴⁶ However, intrinsic positive end-expiratory pressure cannot be measured easily. Some evidence suggests that higher EPAP also may correct expiratory flow limitation by stenting the airways during expiration,⁴⁶ which could improve comfort and reduce hyperinflation. NIV devices with self-adjusting EPAP targeting expiratory flow limitation exist, but are not widely available and require further study. Manual adjustment optimizing patient device triggering and comfort remains necessary.

Backup Rate and Trigger Sensitivity: Effective triggering is important for optimal synchrony to minimize work of breathing and for comfort.¹² In addition to optimizing mask fit and EPAP, this can be achieved by adjusting trigger sensitivity in many devices, starting with medium. Initial high-intensity protocols included high BUR to control ventilation and to minimize patient effort.⁴⁷ However, it has been shown that with adequate pressures, a high BUR does not provide further benefit or unloading of respiratory muscles.²³ In the United States, obtaining a device for COPD with a BUR often requires either prescribing a ventilator or a spontaneous bilevel device failing. For patients with less severe disease, starting without a BUR may be reasonable. For patients with more severe disease, obtaining a device with a BUR is advisable. When using a BUR, we suggest starting at 12/min and titrating up only after optimizing pressures, triggering, and T_i cycling. Overly aggressive

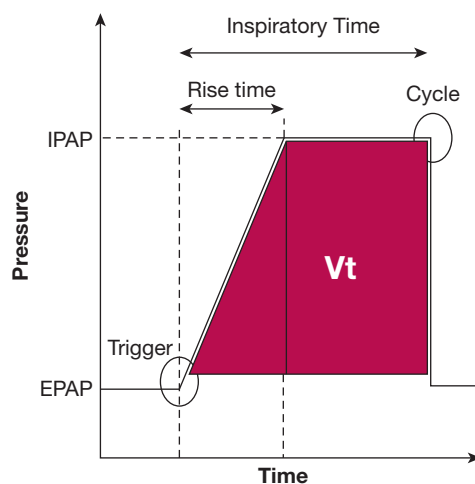


Figure 1 – Diagram showing the main elements of a bilevel noninvasive ventilation device-supported breath. EPAP = expiratory positive airway pressure; IPAP = inspiratory positive airway pressure; V_t = tidal volume. (Reprinted with permission from Selim et al.⁴⁹)

BUR may exacerbate patient-ventilator asynchrony (eg, glottic closure)⁴⁸ and may promote intolerance.

Ti and Cycling Off: Longer expiratory time helps to reduce hyperinflation in COPD; hence, a shorter T_i (Fig 1)⁴⁹ is beneficial, provided that ventilation remains adequate. Delayed cycling off (returning from IPAP to EPAP) can lead to asynchrony during flow-based cycling modes like bilevel spontaneous (S) or spontaneous timed (ST) and volume-assured pressure support (VAPS) (Fig 2).⁵⁰ Cycling off therefore should be set at high sensitivity (earlier). Uncontrolled leaks may prevent synchronized cycling. Pressure (assist) control modes,

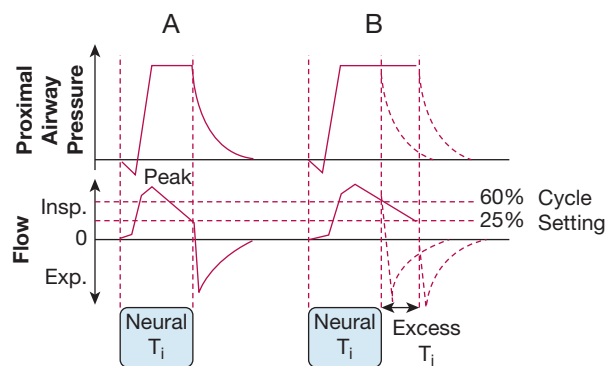


Figure 2 – Diagram showing how cycling threshold affects the duration of respiration. A, Normal respiratory mechanics. The cycle setting is 25% of peak inspiratory flow. Cycling is ideal, as indicated by the fact that the inspiratory flow decreases to the 25% cycling level at the end of the patient's neural T_i . B, Obstructive respiratory mechanics. The change in the inspiratory flow curve leads to the 25% level being reached later, well after the end of the neural T_i . The duration of delayed cycling is represented by the excess T_i . Increasing the cycle setting to 60% of peak inspiratory flow corrects this problem, and cycling occurs at the end of neural T_i . Exp = expiration; Insp = inspiration; T_i = inspiratory time. (Reprinted with permission from Joliet.⁵⁰)

where the T_i is fixed for all breaths, can be useful to remedy these problems. For bilevel S or spontaneous-timed and some volume-assured pressure support modes, many devices allow limits on T_i on spontaneous breaths (minimum or maximum T_i); device-triggered breaths have a fixed T_i . We suggest a T_i minimum and maximum starting range of 0.5 to 1.0 s.

Rise Time: Patients with COPD often have so-called air hunger. A rapid pressure rise from EPAP to IPAP provides high flow when inspiration is triggered, reducing the work of breathing.⁵¹ This also allows target IPAP to be reached faster and a higher volume to be delivered for a given T_i .

Ramp Up and Ramp Down: Bilevel devices may have a ramp-up feature allowing pressures to increase progressively when started. This can help when high IPAP is difficult to tolerate. Yet if pressures are too low, this may be uncomfortable and may result in uncontrolled hypoventilation⁵²; hence, this should be applied judiciously and followed up.

Some patients with COPD experience prolonged dyspnea on stopping NIV in the morning, termed *deventilation syndrome*.⁵³ It can be remedied by adjusting parameters and improving synchrony.⁵³ Some devices now also include a ramp-down feature where pressures decrease gradually for an intended smoother transition to spontaneous breathing, but no clinical data are available on this feature.

Comorbidities

A significant proportion of patients with COPD also have OSA, obesity, or another restrictive condition such as kyphoscoliosis. OSA can be corrected by adapting EPAP. However, the literature is scant on how to approach other parameters in the context of mixed obstructive and restrictive syndromes. Although NIV for restrictive conditions also requires high IPAP, one typically would use longer T_i , slower rise time, and delayed cycling off. Our practice typically is dictated by the primary spirometric abnormality. In a patient with milder COPD with a primarily restrictive syndrome, we chose parameters targeting the restriction. Adjustments are made as needed to optimize comfort, synchrony, and gas exchange.

Monitoring

Monitoring of symptoms and daytime P_{CO_2} are key elements providing the clinician with feedback regarding NIV effectiveness. Overnight gas exchange should be

assessed.⁵⁴ Oximetry is used frequently, but is difficult to interpret with supplemental oxygen. Overnight home transcutaneous CO_2 is useful,⁵⁵ but remains technically challenging, expensive, and not widely available in North America. Device recordings (either via manual download or cloud-based remote monitoring) can help to ascertain adherence, interface adjustment (leak), and more detailed ventilation outcomes.⁵⁶ Importantly, download data, such as tidal volume and minute ventilation, are inaccurate in the face of significant leak.

Applications Beyond Nighttime Use

Patients with more extreme dyspnea may benefit from NIV extension into the daytime. This can be achieved using the same settings as for sleep, eg, during a rest period, but favoring a nasal mask to allow easier speech. Open circuit mouthpiece ventilation⁵⁷ allows more freedom and mobility and improves the ability to communicate. Commercial home ventilators now have dedicated mouthpiece ventilation modes and circuits. Handheld portable devices have been shown to improve dyspnea and walking distance,⁵⁸ but can be heavy and impractical.

Conclusions

Data are accumulating suggesting that home LTNIV in patients with hypercapnic severe COPD can improve clinical and patient-related outcomes when the parameters are adapted to COPD physiologic characteristics. Many questions remain regarding patient selection and implementation. Patients with COPD bring a different set of challenges compared with other populations requiring home NIV. Pulmonologists inevitably will be faced with increasing demand that will require locally adapted protocols and care pathways to optimize delivery of this therapy.

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